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DRUG DELIVERY
INSTITUTE

Global Academic Competition for Life Science Leaders of Tomorrow
Official Rules for Participants in the United States

NO PURCHASE NECESSARY TO ENTER OR WIN. VOID WHERE PROHIBITED.
VALID IN THE 50 UNITED STATES

ENTRY IN THIS COMPETITION CONSTITUTES YOUR ACCEPTANCE OF THESE OFFICIAL RULES.

1. BINDING AGREEMENT: In order to enter the Global Academic Competition (“Competition”) for Life Science Leaders of Tomorrow, you must agree to these Official Rules (“Rules”). Therefore, please read these Rules prior to entry to ensure you understand and agree. You agree that submission of an entry in the Competition constitutes agreement to these Rules. You may not submit an entry to the Competition and are not eligible to receive the prizes described in these Rules unless you agree to these Rules. These Rules form a binding legal agreement between you and Sponsor, as defined below, with respect to the Competition.

2. CRITERIA FOR PARTICIPATION: The Competition is limited to individuals who are currently enrolled as students at a Participating Educational Institution and/or those who meet the Eligibility Criteria described in Section 3. To determine if your school is participating in the competition, please visit www.drugdeliveryinstitute.com. If your school is not listed as a Participating Educational Institution and you wish to participate, please follow the instructions and submit the entry form along with your entry. Your entry will be evaluated along with other entries in a similar general pool. Students from the partnering schools as well as from the general pool will choose from a list of pre-selected topics.

3. ELIGIBILITY: To be eligible to enter the Competition, entrants must be: (1) enrolled as a full time student in a graduate program at a Participating Educational Institution and studying pharmaceutical science, industrial pharmacy, drug delivery, drug development, or a related life sciences discipline; (2) not currently employed by or working within the private sector for monetary compensation; (3) currently engaged in research activities or have recently completed a research project; (4) above the age of majority in the state or jurisdiction where the entrant resides at the time of entry. Competition is void in Puerto Rico, U.S. territories and possessions, outside of the U.S (excluding France, Germany, and the United Kingdom), and where prohibited by law. Employees, interns, contractors, and official office-holders of Catalent Pharma Solutions, Inc. and its parent companies, subsidiaries, affiliates, and its respective directors, officers, employees, advertising and promotion agencies, representatives, and agents and their immediate families (parents, siblings, children, spouses, and life partners of each, regardless of where they live) and members of the households (whether related or not) of such employees, officers and directors are ineligible to participate in this Competition. Sponsor reserves the right to verify eligibility and to adjudicate on any dispute at any time.

ENTRANTS ARE INELIGIBLE IF THEY CURRENTLY HAVE AN EXECUTED OR POTENTIAL AGREEMENT WITH ANY PARTY REGARDING THE REVIEW ARTICLE DISCUSSED BELOW.

4. SPONSOR: The Competition is sponsored by the Catalent Applied Drug Delivery Institute, which is part of Catalent Pharma Solutions, Inc., a Delaware corporation with a principal place of business at 14 Schoolhouse Road, Somerset, NJ 08873.



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5. COMPETITION PERIOD: The Competition begins at 12:00:00 A.M. Eastern Time (ET) Zone in the United States on October 15, 2012 and ends at 11:59:59 P.M. ET on February 22, 2013 ("Competition Period"). ENTRANTS ARE RESPONSIBLE FOR DETERMINING THE CORRESPONDING TIME ZONE IN THEIR RESPECTIVE JURISDICTIONS.

6. HOW TO ENTER: NO PURCHASE NECESSARY TO ENTER OR WIN. Each Participating Educational Institution will have designated faculty contacts (and student association contacts if possible) appointed by Sponsor to assist entrants with their registration. In order to enter, entrants should contact the appointed faculty member at their Participating Educational Institution to declare their intent to participate in the Competition. Entrants will be required to review these Rules and complete a Competition entry form. Once an entrant's registration in the Competition has been confirmed, entrant should submit a formal Review Article, as defined below, and their entry form via email to universitycompetition@catalent.com (and cc: their appointed faculty member) by the end of the Competition Period. All entries will be deemed made by the authorized account holder of the e-mail account used to submit the entry, and the potential winner may be required to show proof of being the authorized account holder for that e-mail account. The "authorized account holder" is the natural person assigned to the e-mail account by the e-mail administrator at the time of account creation. Review Articles must meet the "Submission Requirements" described below.

LIMIT ONE (1) ENTRY PER PERSON. Subsequent entries will be disqualified.

All entries become the property of Sponsor and will not be acknowledged or returned. Entries are void if they are in whole or part unintelligible, incomplete, damaged, altered, counterfeit, obtained through fraud, or late.

7. SUBMISSION REQUIREMENTS: Submissions should be delivered in PDF format, no later than the time and date indicated in the competition period cited above, via e-mail to universitycompetition@catalent.com with a copy to the appointed faculty member at the Participating Educational Institution. Delivery of one printed and bound hard copy is optional. Any accompanying images, charts, or graphs should be generated in color for clarity purposes and must have copyright reproduction rights if applicable. All entries must be submitted in English. If a Participating Educational Institution has less than 5 entries, the entries will be pooled with those of another institution.

A. Review Articles must:

- (a) Be based on a pre-selected group of topics chosen by Catalent. Visit www.drugdeliveryinstitute.com for the list of pre-selected topics.
- (b) Present statistical information and/or a summary of research documenting such challenge(s). Describe an original approach (either hypothetical or based on actual research) for addressing such challenge(s), including a description of the research methodology, statistical analysis, results, and conclusion, as appropriate.
- (c) Provide a discussion section that addresses the implications of adopting the approach described in the Review Article, along with a conclusion to the Review Article.
- (d) Contain recent research and/or data published within the last 10 years.
- (e) Contain multiple references and annotations.
- (f) Be between 2,000 and 3,000 words in length, including references, and citations.

B. Review Articles must also meet the following criteria:

- (a) Must not be derogatory, offensive, threatening, defamatory, disparaging, libelous or contain any content that is inappropriate, indecent, sexual, profane, indecent, tortuous, slanderous,



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- discriminatory in any way, or that promotes hatred or harm against any group or person, or otherwise does not comply with the theme and spirit of the Competition.
- (b) Must not contain content, material or any element that is unlawful, or otherwise in violation of or contrary to all applicable federal, state, or local laws and regulations in any state where the entry is created.
 - (c) Must not contain any content, material or element that displays any third party advertising, slogan, logo, trademark or otherwise indicates a sponsorship or endorsement by a third party, commercial entity or that is not within the spirit of the Competition, as determined by Sponsor, in its sole discretion.
 - (d) Must not reference any person, group, or organizations without their written permission.
 - (e) Must be an original, unpublished work that does not contain, incorporate or otherwise use any content, material or element that is owned by a third party or entity.
 - (f) Cannot contain any content, element, or material that violates a third party's publicity, privacy or intellectual property rights.
 - (g) For studies involving animals, all work must have been conducted according to applicable national and international guidelines. Prior approval must have been obtained for all protocols from entrant's institutional or other appropriate ethics committee. Where unregulated animals are used or ethics approval is not required, the entry should include a clear statement of this fact and the reasons why ethical approval is not required.

Sponsor reserves the right, in its sole discretion, to disqualify any entrant who submits an entry that does not meet the Submission Requirements listed above.

8. JUDGING: There will be two rounds of judging. During the first round, students will submit entries to Sponsor (Catalent) for preliminary review and evaluation to determine eligibility based on set criteria. Then in a second round, the appointed University judging panel (excluding the Chairman-the VP of Scientific Affairs of Catalent Pharma Solutions) will use an evaluation form to score each entry on the following criteria:

- (a) Depth of understanding of the challenges in a particular area of drug development/drug delivery; or depth of understanding of the challenges of managing the relationship between various departments/functions involved in development of one specific dosage form (70% of score)
- (b) Unmet need/applicability (15% of score)
- (c) Innovative (15% of score)

On or about March 1, 2013, each entry selected in the initial round of judging will be re-evaluated by the University judging panel to determine and select a First Place winner. These evaluations will be based on the same criteria set forth above. Note that each Participating Educational Institution will have a First Place winner. The general pool will also have a First Place winner. The evaluation will be completed by March 30, 2013.

Each Review Article that receives the highest overall score at each Participating Educational Institution will be selected as the potential First Place winner.

In the event of a tie the panel of judges (excluding the chairperson) will vote between the tied entries ("Tiebreaker Voting"). In the event of a tie in the Tiebreaker Voting, the chairperson will cast a vote to break the tie. The chairperson will only vote in the event of a tie resulting from the Tiebreaker Voting.



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On or about April 12, 2013 the potential First Place winner from each Participating Educational Institution and the general pool will be selected and notified by telephone and/or email, at Sponsor's discretion. If a potential First Place winner does not respond to the notification attempt within 5 days from the first notification attempt, then such potential First Place winner will be disqualified and an alternate potential First Place winner will be selected from among the remaining entries from the relevant Participating Educational Institution based on the judging criteria described herein. In the event a potential First Place winner is disqualified for any reason, the entry that received the next highest total score at the Participating Educational Institution will be chosen as the First Place winner.

A Grand Prize winner will be selected from the First Place winners at each Participating Educational Institution and the general pool. Catalent and an appointed judging panel will review the First Place winning entries based on the scoring criteria mentioned earlier. In the event of a tie, the same tiebreaking process described above will be applied. The potential Grand Prize winner will be notified by telephone and/or email on or about April 25, 2013, at Sponsor's discretion. If the potential Grand Prize winner does not respond to the notification attempt within 5 days from the first notification attempt, then such potential Grand Prize winner will be disqualified and an alternate Grand Prize winner will be selected from the remaining entries based on the judging criteria described herein. In the event a potential Grand Prize winner is disqualified for any reason, the entry that received the next highest total score will be chosen as the Grand Prize winner.

Pending response of the competition winners, competition results will be publicly announced on or about May 6, 2013. In the event that no entries are received, no prizes will be awarded. Determinations of judges are final and binding.

9. PRIZES: The First Place winners will each receive: (i) \$2,000 cash and (ii) the opportunity to publish their work in either Catalent or other industry media. The Grand Prize winner will receive: (i) \$5,000 cash, (ii) The opportunity to interview for a paid internship for a period of up to 12 months with Catalent Pharma Solutions, Inc. located at one of their U.S. facilities and (iii) the opportunity to publish their work in either Catalent or other industry media. The internship opportunity is not guaranteed, is subject to availability and may be awarded at Catalent's sole discretion. The Grand Prize winner is responsible for any other expenses related to internship, including but not limited to, relocation costs, Visa (if required), transportation costs, housing, meals, other ground transportation, passenger tariffs or duties, surcharges, airport fees, service charges or facility charges, personal charges at lodging, security fees, taxes or other expenses.

10. TERMS APPLICABLE TO ALL PRIZES: An inability to participate in and/or fully enjoy any prize awarded as a result of the Competition does not in any way obligate Sponsor to provide an alternative prize. Odds of winning any prize depend on the number of eligible entries received and the skill of the entrants. No transfer, substitution or cash equivalent for prizes is allowed, except at Sponsor's sole discretion. Sponsor reserves the right to substitute a prize, in whole or in part, of equal or greater monetary value if a prize cannot be awarded, in whole or in part, as described for any reason. Sponsor has not made and Sponsor is not responsible in any manner for any warranties, representations, or guarantees, express or implied, in fact or law, relating to the prize(s), regarding the use, value or enjoyment of the prize(s), including, without limitation, its quality, mechanical condition, merchantability, or fitness for a particular purpose, with the exception of any standard manufacturer's warranty that may apply to the prize or any components thereto.

Sponsor may amend or modify these rules at any time by posting the revised rules at www.drugdeliveryinstitute.com.



11. TAXES: PAYMENTS TO POTENTIAL WINNERS ARE SUBJECT TO THE EXPRESS REQUIREMENT THAT THEY SUBMIT TO SPONSOR ALL DOCUMENTATION REQUESTED BY SPONSOR TO PERMIT IT TO COMPLY WITH ALL APPLICABLE FEDERAL, STATE AND LOCAL TAX REPORTING AND WITHHOLDING REQUIREMENTS. ALL PRIZES WILL BE NET OF ANY TAXES SPONSOR IS REQUIRED BY LAW TO WITHHOLD. ALL TAXES IMPOSED ON PRIZES ARE THE SOLE RESPONSIBILITY OF THE WINNERS. In order to receive a prize, potential winners must submit the tax documentation requested by Sponsor or otherwise required by applicable law, to Sponsor or the relevant tax authority, all as determined by applicable law. The potential winner is responsible for ensuring that (s)he complies with all the applicable tax laws and filing requirements. If a potential winner fails to provide such documentation or comply with such laws, the prize may be forfeited and Sponsor may, in its sole discretion, select an alternative potential winner.

12. GENERAL CONDITIONS: All federal, state and local laws and regulations apply. Sponsor reserves the right to disqualify any entrant from the Competition if, in Sponsor's sole discretion, it reasonably believes that the entrant has attempted to undermine the legitimate operation of the Competition by cheating, deception, or other unfair playing practices or annos, abuses, threatens or harasses any other entrants, Sponsor, or the judges.

13. INTELLECTUAL PROPERTY RIGHTS: Entrants retain ownership of all intellectual and industrial property rights (including moral rights) in and to their entry, including, but not limited to, the Review Article (collectively referred to as "Entry Materials"). All research, and the intellectual property within, remains the sole property of the author. Catalent will not alter or modify the research methodology or data contained within a winning submission. Authors of winning submissions will receive full attribution in all publications based on the submission or work, and all publicity of the winning submission.

Catalent owns the rights to the submission and therefore the right to sublicense and transfer license to use, reproduce, adapt, modify, publish, distribute, publicly perform, create a derivative work from, and publicly display the Entry Materials, for the purpose of publicizing the competition and its winners. Ownership of the actual full-length research or intellectual property associated with each competition submission remains with the author.

14. PRIVACY: Participants agree that personal data including, but not limited to, name, mailing address, phone number, email address, and educational enrollment information ("Personal Information") may be collected, processed, stored and otherwise used by the Sponsor, or any party authorized by them for the purposes of conducting and administering the Competition. This Personal Information may also be used by Sponsor or any party authorized by them to verify an entrant's identity, postal address and telephone number in the event an entrant qualifies for any applicable prize as well as to deliver the applicable prize(s). Participants further agree that their Personal Information may be used to fulfill additional terms of the Competition or to contact you in response to a question submitted by you.

This Personal Information may also be shared by the Sponsor or any party authorized by them with any third-party for the purposes of fulfilling the terms of this Competition or another service that you have requested, to comply with the law or in the good faith belief that such action is necessary in order to conform to the requirements of law or comply with legal process served on Sponsor, and to protect and defend Sponsors rights or property.

15. PUBLICITY: By accepting a prize, entrant agrees to allow Sponsor and its agencies to use his or her name and/or likeness and entry for advertising and promotional purposes without additional compensation, unless prohibited by law.



16. WARRANTY AND INDEMNITY: Entrants warrant that their entries are their own original work and, as such, they are the sole and exclusive owner and rights holder of the submitted Entry Materials and that they have the right to submit these Entry Materials in the Competition and grant all required licenses. Each entrant agrees not to submit any Entry Materials that (1) infringe any third party proprietary rights, intellectual property rights, industrial property rights, personal or moral rights or any other rights, including without limitation, copyright, trademark, patent, trade secret, privacy, publicity or confidentiality obligations; or (2) otherwise violate the applicable state or federal law.

To the maximum extent permitted by law, each entrant indemnifies and agrees to keep indemnified Sponsor at all times from and against any liability, claims, demands, losses, damages, costs and expenses resulting from any act, default or omission of the entrant and/or a breach of any warranty set forth herein. To the maximum extent permitted by law, each entrant agrees to defend, indemnify and hold harmless the Sponsor from and against any and all claims, actions, suits or proceedings, as well as any and all losses, liabilities, damages, costs and expenses (including reasonable attorneys fees) arising out of or accruing from (a) any Entry Materials submitted or otherwise provided by the entrant that infringes any copyright, trademark, trade secret, trade dress, patent or other intellectual property right of any person or defames any person or violates their rights of publicity or privacy, (b) any misrepresentation made by the entrant in connection with the Competition; (c) any non-compliance by the entrant with these Rules; (d) claims brought by persons or entities other than the parties to these Rules arising from or related to the entrant's involvement with the Competition; (e) acceptance, possession, misuse or use of any prize or participation in any Competition-related activity or participation in this Competition; (f) any error in the collection, processing, or retention of entry information; or (g) any typographical or other error in the printing, offering or announcement of any prize or winners.

17. ELIMINATION: Any breach of the provisions of these Rules, as well as any false information provided within the context of the Competition by any entrant concerning identity, mailing address, telephone number, email address, ownership of right or non-compliance with these Rules or the like may result in the immediate elimination of the entrant from the Competition.

18. RIGHT TO CANCEL, MODIFY OR DISQUALIFY: If for any reason the Competition or any portion of the Competition is not capable of running as planned due to technical or administrative problems, infection by computer virus, bugs, tampering, unauthorized intervention, fraud, technical failures, or any other causes which corrupt or affect the administration, security, fairness, integrity, or proper conduct of the Competition, Sponsor reserves the right at its sole discretion to cancel, terminate, modify or suspend the Competition or any portion of the Competition. Sponsor further reserves the right to disqualify any entrant who tampers with the submission process or any other part of the Competition. Any attempt by an entrant to deliberately damage any web site or undermine the legitimate operation of the Competition is a violation of criminal and civil laws and should such an attempt be made, Sponsor reserves the right to seek damages from any such entrant to the fullest extent of the applicable law.

19. NOT AN OFFER OR CONTRACT OF EMPLOYMENT: Under no circumstances shall the submission of an entry into the Competition, the awarding of a prize, or anything in these Rules be construed as an offer or contract of employment with Sponsor. You acknowledge that you have submitted your entry voluntarily and not in confidence or in trust. You acknowledge that no confidential, fiduciary, agency or other relationship or implied-in-fact contract now exists between you and Sponsor and that no such relationship is established by your submission of an entry under these Rules.

20. FORUM AND RECOURSE TO JUDICIAL PROCEDURES: These Rules shall be governed by, subject to, and construed in accordance with the laws of the State of New Jersey, United States of America, excluding all conflict of



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law rules. If any provision(s) of these Rules are held to be invalid or unenforceable, all remaining provisions hereof will remain in full force and effect. To the extent permitted by law, the rights to litigate, seek injunctive relief or make any other recourse to judicial or any other procedure in case of disputes or claims resulting from or in connection with this Competition are hereby excluded, and all Participants expressly waive any and all such rights.

21. WINNER'S LIST: You may request a list of winners after May 10, 2013 but before June 1, 2013 by sending a self addressed stamped envelope to:

University Academic Partnership Competition
JFK Communications
5 Independence Way
Suite 300
Princeton, NJ 08540